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<div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>          (Use as many sheets as necessary)       </div>				Complete If Known	
				Application Number	09/801,221
				Filing Date	March 7, 2001
				First Named Inventor	Sanberg, et al.
				Art Unit	1632
				Examiner Name	FALK, Anne Marie
				Attorney Docket Number	20657-0005
Sheet	1	of	1		

[illegible]

### NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
FLAX J. E., et al., (1998) "Engraftable Human Neural Stem Cells Respond to Developmental Cues, Replace Neurons, and Express Foreign Genes," <i>Nature Biotechnology</i> , <i>Nature America, Inc.</i> 16:1033-1039.			
HURLBERT, M.S., et al., (1999) "Neural Transplantation of hNT Neurons for Huntington's Disease," <i>Cell Transplantation</i> , 8:143-151.			
LU L., et al., (1996) "Stem Cells from Bone Marrow, Umbilical Cord Blood and Peripheral Blood for Clinical Application: Current Status and Future Application," <i>Critical Reviews in Oncology/Hematology</i> , 22:61-78.			
MCKAY R., (1997) "Stem Cells in the Central Nervous System," <i>Science</i> , 276:66-71.			
SIRCHIA G., et al., (1999) "Placenta/Umbilical Cord Blood Transplantation," <i>Haematologica</i> , 84:738-747.			
Examiner Signature	Anne-Marie Zalk		Date Considered
			9/10/04

\*EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.